



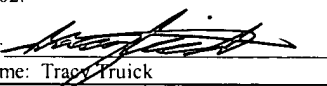
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert J. Peach et al.  
Serial No.: 09/865,321  
Filed: May 23, 2001  
Docket: DB0028PNP/30436.57USU1  
Title: SOLUBLE CTLA4 MUTANT MOLECULES AND USES THEREOF

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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the U. S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, Va. 22202 on November 12, 2002.

By:   
Name: Tracy Truick

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
November 12, 2002

U. S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, Va. 22202

Sir:

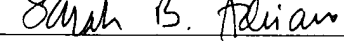
We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Response To An October 11, 2002 Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures
- ☒ Exhibit 1- A copy of October 11, 2002 Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures
- ☒ Exhibit 2-Sequence Listing (Seq. ID No. 1-9) in Paper Copy and Diskette
- ☒ Exhibit 3-Declaration Pursuant to 37 C.F.R. §1.821(f)
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
(626) 395-7801

By:   
Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA  
Customer No. 26,941



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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 22, 2002.

By: 

Name: Tracy Truick

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
November 22, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

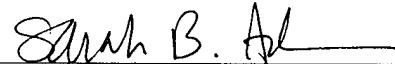
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- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Preliminary Amendment (10 pages)
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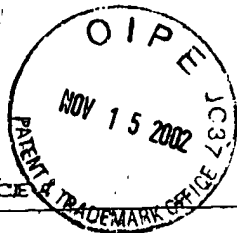
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MANDEL & ADRIANO

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Commissioner for Patents  
Washington, DC 20221  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,321	05/23/2001	Robert J. Peach	DB0028PNP/30436.57USU1

CONFIRMATION NO. 2959

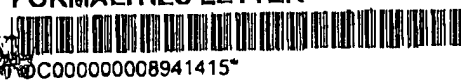
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JAMES PATENT LAW



\*C000000008941415\*

OCT 15 2002

Docketed Item OADue Date 11/11/02Attorney AS

Date Mailed: 10/11/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES***Filing Date Granted*

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extension of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six-month statutory period. Direct the response to: The Office Of Initial Patent Examination.

See the attachment.

**Applicant Must Provide as part of the response:**

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

*Nyan*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE